

FORM PTO-1449  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (use several sheets if necessary)	SERIAL NO. 10/766,336	ATTORNEY DOCKET NO. SVL920030102US1
	FILING DATE January 28, 2004	GROUP ART UNIT <del>2479</del>
	APPLICANT(S): Shyh-Mei F. Ho et al.	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	4,740,783	04/26/1988	Lawrence et al.	340/720	11/04/1985
	A2	6,128,622	10/03/2000	Bach et al.	707/103	03/13/1998
	A3	6,212,550	04/03/2001	Segur	709/206	01/21/1997
	A4	6,250,309	06/26/2001	Krichen et al.	128/899	07/21/1999
	A5	6,446,110	09/03/2002	Lection et al.	709/203	04/05/1999
	A6	6,753,889	06/22/2004	Najmi	715/784	10/30/2000
	A7	6,772,206	08/03/2004	Lowry et al.	709/223	06/11/2001
	A8	6,910,216	06/21/2005	Abileah et al.	719/319	11/07/2000
	A9	7,013,306	03/14/2006	Turba et al.	707/101	12/21/2001
	A10	2001/0014900	08/16/2001	Brauer et al.	707/513	02/13/2001
	A11	2002/0078010	06/20/2002	Ehrman et al.	707/1	05/04/2001
	A12	2002/0100027	07/25/2002	Binding et al.	717/137	07/24/2001
	A13	2002/0133569	09/19/2002	Huang et al.	709/219	03/03/2001
	A14	2002/0161801	10/31/2002	Hind et al.	707/513	04/26/2001
	A14	2002/0174340	11/21/2002	Dick et al.	713/178	05/18/2001
	A15	2002/0178290	11/28/2002	Coulthard et al.	709/246	06/11/2001
	A17	2002/0194227	12/19/2002	Day et al.	707/523	04/18/2001
	A18	2003/0007397	01/09/2003	Kobayashi et al.	365/200	05/10/2002
	A19	2003/0120730	06/26/2003	Kuno et al.	709/204	12/06/2001
	A20	2004/0054970	03/18/2004	Chiang et al.	715/523	09/16/2002
	A21	2004/0064466	04/01/2004	Manikutty et al.	707/100	05/01/2003
	A22	2004/0103370	05/27/2004	Chiang et al.	715/513	11/27/2002
	A23	2004/0237034	11/25/2004	Chiang et al.	715/513	05/19/2003
	A24	2005/0165826	07/28/2005	Ho et al.	707/102	01/28/2004
	A25	2005/0165936	07/28/2005	Haller et al.	709/228	01/26/2004
	A26	2005/0203944	09/15/2005	Dinh et al.	707/102	03/18/2005

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A27	2006/0265478	11/23/2006	Chiang et al.	709/219	07/12/2006
	A28	6,668,354	12/23/2003	Chen et al.	715/517	01/05/1999
	A29	6,589,291	07/08/2003	Boag et al.	715/513	04/08/1999
	A30	2002/0198974	12/26/2002	Shafer	709/223	05/03/2001
	A31	2003/0204460	10/30/2003	Robinson et al.	705/35	03/19/2003
	A32	2002/0035583	03/21/2002	Price et al.	707/513	09/14/2001
	A33	2003/0163585	08/28/2003	Elderon et al.	709/246	05/04/2001
	A34	2004/0221292	11/04/2004	Chiang	719/310	05/04/2001
	A35	6,453,343	09/17/2002	Housel et al.	709/213	01/21/1999
	A36	6,259,447	07/10/2001	Kanetake et al.	715/764	08/05/1998
	A37	6,510,466	01/21/2003	Cox et al.	709/1229	12/14/1998
	A38	2003/0212686	11/13/2003	Chu-Carroll et al.	707/100	01/03/2001
	A39	5,870,549	02/09/1999	Bobo	709/206	10/06/1997
	A40	2005/0166209	07/28/2005	Merrick et al.	719/310	12/03/2004
	A41	2002/0160745	10/31/2002	Wang	455/404	07/19/2001
	A42	6,507,857	01/14/2003	Yalcinalp	715/513	03/10/2000
	A43	6,799,299	09/28/2004	Li et al.	715/513	09/23/1999
	A44	6,728,685	04/27/2004	Ahluwalia	705/26	04/05/1997
	A45	6,952,717	10/04/2005	Monchilovich et al.	709/205	10/20/2000
	A46	5,899,975	05/04/1999	Nielsen	704/270.1	04/03/1997
	A47	2002/0010716	01/24/2002	McCartney et al.	707/517	01/29/2001
	A48	2002/0143820	10/03/2002	Van Eaton et al.	707/517	03/27/2001
	A49	2002/0083099	06/27/2002	Knauss et al.	707/513	12/21/2001
	A50	2002/0049815	04/25/2002	Dattatri	709/206	12/18/2000
	A51	6,980,963	12/27/2005	Hanzek	705/26	03/29/2000
	A52	6,901,430	05/31/2005	Smith	709/206	03/31/2000
	A53	6,971,096	11/29/2001	Ankiredipally et al.	718/101	05/19/2000
	A54	2001/0047311	11/29/2001	Singh	705/26	04/13/2001
	A55	6,606,642	08/12/2003	Ambler et al.	709/200	04/03/2002
	A56	6,889,360	05/03/2005	Ho et al.	715/513	04/19/2000
	A57	6,907,564	06/14/2005	Burchhardt et al.	715/513	06/05/2000
	A58	6,613,098	09/02/2003	Sorge et al.	715/503	06/15/1999
	A59	2004/0024820	02/05/2004	Ozzie et al.	709/205	07/30/2003
	A60	2005/0171970	08/04/2005	Ozzie et al.	707/102	03/28/2005
	A61	2002/0059344	05/16/2002	Britton et al.	707/513	01/29/1999

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	A62	2004/0006739	01/08/2004	Mulligan	715/513	02/07/2003
	A63	2002/0111989	08/15/2002	Ambler et al.	709/202	04/03/2002
	A64	2005/0210414	09/22/2005	Angiulo et al.	715/838	05/20/2005
	A65	5,960,200	09/22/1999	Eager et al.	395/705	09/16/1996
	A66	2002/0116454	08/22/2002	Dyla et al.	709/203	10/02/2001
	A67	2002/0188688	12/12/2002	Bice et al.	709/206	06/12/2001
	A68	2003/0004746	01/02/2003	Kheirrolomoom et al.	705/1	04/24/2002
	A69	2003/0070006	04/10/2003	Nadler et al.	709/330	01/30/2002
	A70	2003/0074217	04/17/2003	Beisiegel et al.	705/1	10/09/2002
	A71	2003/0081002	05/01/2003	De Vorchik et al	345/762	10/30/2001
	A72	2003/0093436	05/15/2003	Brown et al.	707/103	09/28/2001
	A73	2003/0093468	05/15/2003	Gordon et al.	709/203	02/27/2002
	A74	2003/0093500	05/15/2003	Khodabakhchian et al.	709/219	10/09/2002
	A75	5,761,656	06/02/1998	Ben-Shachar	707/4	06/26/1995
	A76	6,243,737	06/05/2001	Flanagan et al.	709/2002	04/09/1999
	A77	6,256,676	07/03/2001	Taylor et al.	709/246	10/05/1999
	A78	6,289,382	09/11/2001	Bowman-Amuah	709/226	08/31/1999
	A79	2002/0042849	04/11/2002	Ho et al.	709/329	05/04/2001
	A80	2004/0030740	02/12/2004	Stelting	709/201	08/09/2002
	A81	2004/0111464	06/10/2004	Ho et al.	709/203	12/04/2002
	A82	2004/0205731	10/14/2004	Junkermann	717/136	10/18/2001
	A83	2003/0065623	04/03/2003	Cornell et al.	705/64	10/01/2001
	A84	6,687,873	02/03/2004	Ballantyne et al.	715/500	03/09/2000
	A85	2003/0159111	08/21/2003	Fry, Chris	715/513	11/26/2002
	A86	2003/0093403	05/15/2003	Upton	707/1	10/15/2002
	A87	2002/0099735	07/25/2002	Schroeder et al.	707/513	01/19/2001
	A88	7,143,190	11/28/2006	Christensen et al.	709/246	04/01/2002
	A89	7,080,092	07/18/2006	Upton	707/102	10/15/2002
	A90	6,964,053	11/08/2005	Ho et al.	719/319	12/04/2002
	A91	6,948,174	09/20/2005	Chiang et al.	719/319	05/04/2001
	A92	6,915,523	07/05/2005	Dong et al.	719/319	05/04/2001
	A93	6,912,719	06/28/2005	Elderon et al.	719/319	05/04/2001
	A94	6,904,598	06/07/2005	Abileah et al.	719/319	05/04/2001
	A95	6,901,403	05/31/2005	Bata et al.	707/101	09/14/2001

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A96	2002/0038336	03/28/2002	Abileah et al.	709/203	05/04/2001
	A97	2002/0046294	04/18/2002	Brodsky et al.	709/246	05/04/2001
	A98	2002/0038335	03/28/2002	Dong et al.	709/203	05/04/2001
	A99	2002/0178299	11/28/2002	Teubner	709/320	01/18/2002
	A100	6,507,856	01/14/2003	Chen et al.	707/513	01/05/1999
	A101	6,519,617	02/11/2003	Wanderski et al.	707/513	04/08/1999
	A102	6,253,200	06/26/2001	Smedley et al.	707/4	12/02/1997
	A103	6,775,680	08/10/2004	Ehrman et al.	707/102	05/04/2001
	A104	2005/0050228	03/03/2005	Perham et al.	709/246	06/30/2004
	A105	2005/0091639	04/28/2005	Patel	717/114	10/27/2003
	A106	2002/0056012	05/09/2002	Abileah et al.	709/310	05/04/2001
	A107	7,069,291	06/27/2006	Graves et al.	709/201	06/14/2002
	A108	7,130,893	10/31/2006	Chiang et al.	709/219	05/19/2003
	A109	2007/0083524	04/12/2007	Fung et al.	707/010	12/19/2005
	A110	2007/0094283	04/26/2007	Fung et al.	707/101	10/07/2005

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION	
						YES	NO
	A111	WO 01/67290	09/13/2001	WIPO	G06F 17/00		
	A112	JP2001273177	10/05/2001	Japan	G06F 12/00		

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	A113	Microsoft Corp, Computer Dictionary, Third Edition, Microsoft Press, 1997, page 371
	A114	"NetDynamics, PAC for IMS" User Guide, Precise Connectivity Systems, 1998
	A115	UML™ for EAI. UML™ Profile and Interchange Models for Enterprise Application Integration (EAI). OMG document no. ad/2001-09-17.
	A116	Long et al. "IMS Primer" Jan. 2000, IBM, Chapter 18

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	A117	"Correlate IMSADF Secondary Transaction MFS Generation with the Generation of the Output Format Rule", IBM Technical Disclosure Bulletin, Vol. 27, No. 1B, pp. 623-624, June 1984.
	A118	"Remote Execution of IMS Transactions for Os/2", IBM Technical Disclosure Bulletin, Vol. 34, No. 7B, pp. 16, December 1991.
	A119	"Connecting to IMS Using XML, SOAP and web Services", Shyh-Mei F. Ho, IMS Technical Conference, Koenigswinter, Germany, October 15-17, 2002.
	A120	"Web Services-The Next Step in the Evolution of the Web", Excerpt from <a href="http://www.3.ibm.com/software/data/ims/...ntations/two/imsv7enh/HTML/indexp51.htm">http://www.3.ibm.com/software/data/ims/...ntations/two/imsv7enh/HTML/indexp51.htm</a> . IBM Corporation, 2002.
	A121	"Leveraging IMS Applications and Data"< Excerpts from Leveraging IMS2 found at <a href="http://www.3.ibm.com/software/data/ims/...ntations/two/imsv7enh/HTML/indexp52.htm">http://www.3.ibm.com/software/data/ims/...ntations/two/imsv7enh/HTML/indexp52.htm</a> IBM Corporation, 2002.
	A122	"What's Next in IMS Providing Integrated e-business Solutions: IMS Version 8", Excerpt from <a href="http://www.3.ibm.com/software/data/ims/...ntations/two/imsv7enh/HTML/indexp53.htm">http://www.3.ibm.com/software/data/ims/...ntations/two/imsv7enh/HTML/indexp53.htm</a> IBM Corporation, 2002.
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	A126	Extensible Markup Language (XML) 1.0 (Second Edition) Oct. 2000, W3C
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	A128	Hofstetter, The Future's Future: Implications of Emerging Technology for Special Education Program Planning, Journal of Special Education Technology, Fall 2001, vol 16, pg. 7, 7 pgs.
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	A130	Arndt et al., An XML-Based Approach to Multimedia Software Engineering for Distance Learning, ACM 2002, pages 525-532.
	A131	Glushko et al., An XML Framework for Agent-Based E-Commerce, ACM March 1999, pages 106-114.
	A132	Dymetman et al., XML and Multilingual Document Authoring: Convergent Trends, ACM July 2000, pages 243-249.
	A133	PR Newswire, XMLSolutions Delivers XML-based Prototype for Envera Marketplace, ProQuest, April, 2000, pages 1-3.
	A134	Suzuki et al., Managing the Software Design Documents with XML, ACM 1999, pages 127-136.
	A135	Stieren, SST: Using Single-sourcing, SGML, and Teamwork for Documentation, ACM

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		1999, pages 45-52.
A136	Royappa, Implementing Catalog Clearinghouses with XML and XSL, ACM 1998, pages 616-623.	
A137	"XML and IMS for Transparent Application Integration", Excerpt from <a href="http://www-3.ibm.com/software/data/ims/...ntations/two/imsv7/enh/HTML/indexp50.htm">http://www-3.ibm.com/software/data/ims/...ntations/two/imsv7/enh/HTML/indexp50.htm</a> . IBM Corporation, 2002.	
A138	Absa Uses VGR to Ensure Online Availability, <a href="http://www-306.ibm.com/software/data/ims/quarterly/Winter2000/winter.htm">www-306.ibm.com/software/data/ims/quarterly/Winter2000/winter.htm</a> Approx. Oct. 2000.	
A139	"IBM Mainframe," <a href="http://www.dmreview.com/whitepaper/WID1002720.pdf">www.dmreview.com/whitepaper/WID1002720.pdf</a> 3/18/2005	
A140	"HostBridge and WebSphere: Integrating CICS with IBM's Application Server," a HostBridge White Paper, 7/23/2002, pp. 1-34. March 18, 2005.	
A141	"S1215, WWW.IMS or Websphere Working with IMS," Ken Blackman, 39 pp. (date unknown)	
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A143	"Learning Management Systems XML and Web Services," Finn Gronbaek, IBM Corporation, copyright 2001, 4/20/03, pp. 1-29	
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A146	Starkey, "XML-Based Templates for Generating Artifacts from Java-Based Models," Research Disclosure, December 1998, pps. 1678-1680.	
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A148	Blackman, "IMS eBusiness Update", IMS V8 Roadshow, 11 pages, IBM Corporation, <a href="http://www-306.ibm.com/software/data/ims/shell/presentation/oneday/IMSeBusinessUpdate2003.pdf">http://www-306.ibm.com/software/data/ims/shell/presentation/oneday/IMSeBusinessUpdate2003.pdf</a> , 2003	
A149	Cover et al., "Web Services User Interface (WSUI) Initiative", <a href="http://xml.coverpages.org/wsui.html">http://xml.coverpages.org/wsui.html</a> , October 29, 2002	
A150	Jantli, "Solutions for IMS Connectivity", Abridged from "IMS Connectivity in an On Demand Environment: A Practical Guide to IMS Connectivity" Redbook (SG246794), 15 pages, (referred to as " <a href="http://www-1.ibm.com/support/docview.wss?uid=swj27009204&amp;aid=1,02/2006">http://www-1.ibm.com/support/docview.wss?uid=swj27009204&amp;aid=1,02/2006</a> " in US Application No. 10/668,740	
A151	Cover, Editor, "Web Services for Interactive Applications (WSIA). [Web Services Component Model (WSCM)], <a href="http://xml.coverpages.org/wscm">http://xml.coverpages.org/wscm</a> , 1/21/2002, printed 10/31/2007, 4 pages	
A152	"IMS Connector for Java, User's Guide and Reference", IBM VisualAge for Java, Version 3.5, 9 pages, IBM, 2002	
A153	PR Newswire, Sterling Commerce Announces Availability of First Data Transformation Engine to Support Both XML and Traditional EDI Standards, ProQuest May 12, 1999, pages 1-3.	
A154	"What Web Services Are NOT", <a href="http://www.webreference.com/xml/column50">www.webreference.com/xml/column50</a> , 2003	

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	A156	"Creating WSDL and a Proxy Client From A Web Service, <a href="http://www.west-wind.com/webconnection/docs/08413NI2E.htm">www.west-wind.com/webconnection/docs/08413NI2E.htm</a> , 2002
	A157	"Requirements for Building Industrial Strength Web Services: The Service Broker", <a href="http://www.theserverside.com/ti/articles/article.tss?l=Service-Broker">http://www.theserverside.com/ti/articles/article.tss?l=Service-Broker</a> , July 2001
	A158	Application Development/Enablement, <a href="http://www.306.ibm.com/software/data/ims/presentation/five/trends2003/HTML/indexp15.htm">http://www.306.ibm.com/software/data/ims/presentation/five/trends2003/HTML/indexp15.htm</a> November 11, 2003

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